



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/734,811	12/11/2000	Mark A. Peloquin	AUS9-2000-0509-US1	2074

7590

07/08/2003

Duke W. Yee
Carstens, Yee & Cahoon, LLP
P.O. Box 802334
Dallas, TX 75380

EXAMINER

TRUONG, LECHI

ART UNIT	PAPER NUMBER
----------	--------------

2126

DATE MAILED: 07/08/2003

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application N .

09/734,811

Applicant(s)

PELOQUIN ET AL.

Examiner

LeChi Truong

Art Unit

2151

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 11 December 2000.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-20 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-20 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on _____ is: a) ☐ approved b) ☐ disapproved by the Examiner.
- If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

Priority under 35 U.S.C. §§ 119 and 120

- 13) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- * See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
- a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO-1449) Paper No(s) 3.
- 4) ☐ Interview Summary (PTO-413) Paper No(s). _____.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: _____.

DETAILED ACTION

Claim Rejections - 35 USC § 103

1. Claims **1-20** are rejected under 35 U.S.C. 103(a) as being unpatentable over Bachmat et al (US Patent 6,122,685) in view of Auslander et al (US. Patent 5,129,088).

As to claim 1, Batchmat teaches device information (the file or logical volume/ the format in the BVC device, col 8, ln 17-67), a device (the selected BCV device, col 8, ln 17-67), transforming (convert, col 8, ln 17-67), a logical disk (the original logical volume, col 8, ln 17-67).

Batchmat does not teach device volume management, a device driver for a device. However Auslander teaches the logical volume manage (col 9, ln 60-67 to col 10, ln 10-30/ col 13, ln 25-60), device driver (col 14, ln 50-67).

It would have been obvious to apply the teaching of Auslander to Batchmat in order to provide ability to create, modify and query logical volumes, physical volumes and volume groups.

As to claim 2, Bachmat teaches a device manage plug-in module (the transfer control module 50/col 8, ln 17-67/ the system manager, col 5, ln 33-41).

As to claim 3, Batchmat teaches the logical disk (the original logical volume, col 8, ln 17-67).

Batchmat does not teach transforming the logical disk into a logical partition. However, Auslauder teaches the first entry in logical partition map corresponding to the first logical partition contained within the logical volume (col 15, ln 52-68).

Art Unit: 2126

It would have been obvious to apply the teaching of Auslauder to Batchmat in order to provide ability to create, modify and query logical volumes, physical volumes and volume groups.

As to claim 4, Batchmat teaches the logical disk (the original logical volume, col 8, ln 17-67).

Batchmat does not teach a partition manger plug-in module. However, Auslander teaches the logical volume manager (col 10, ln 1-23)/ logical volume device driver (col 15, ln 52-59).

It would have been obvious to apply the teaching of Auslauder to Batchmat in order to provide ability to create, modify and query logical volumes, physical volumes and volume groups.

As to claim 5, Batchmat does not teach constructing a volume group. However, Auslander teaches create ... volume group (col 13, ln 25-67)/ col 10, ln 44-55/col 11, ln 20-26/col 12, ln 35-68/ col 18, ln 15-20).

It would have been obvious to apply the teaching of Auslauder to Batchmat in order to provide ability to create, modify and query logical volumes, physical volumes and volume groups.

As to claim 6, Batchmat does not teach a volume group emulator plug-in module. However, Auslauder teaches a Volume Group Map Entry (col 12, ln 135-67)/ the logical volume manager (col 10, ln 1-23).

It would have been obvious to apply the teaching of Auslauder to Batchmat in order to provide ability to create, modify and query logical volumes, physical volume and volume groups.

As to claim 7, Batchmat teaches the logical disk(the original logical volume, col 8, ln 17-67).

Batchmat does not teach creating a logical volume from the logical partition. However, Auslauder teaches creating a logical volume (col 18, ln 64-69 to col 19, ln 1-10).

It would have been obvious to apply the teaching of Auslauder to Batchmat in order to provide ability to create, modify and query logical volumes, physical volumes and volume groups.

As to claim 8, Batchmat does not teach a feature plug-in module. However, Auslauder teach Creat Logical Volume method (col 13, ln 25-67).

It would have been obvious to apply the teaching of Auslauder to Batchmat in order to provide ability to create, modify and query logical volumes, physical volumes and volume groups.

As to claim 9, Batchmat teaches exporting the logical volume (operations attempt to read data in a logical volume, col 2, ln 1-7).

As to apparatus of claim 10, see the rejection of claim 1.

As to apparatus of claim 11, see the rejection of claim 2.

As to apparatus of claim 12, see the rejection of claim 3.

As to apparatus of claim 13, see the rejection of claim 4.

As to apparatus of claim 14, see the rejection of claim 5.

As to apparatus of claim 15, see the rejection of claim 6.

As to apparatus of claim 16, see the rejection of claim 7.

As to apparatus of claim 17, see the rejection of claim 8.

Art Unit: 2126

As to apparatus of claim 18, see the rejection of claim 9.

As to a computer program product of claim 19, see the rejection of claim 1.

As to a computer program product of claim 20, see the rejection of claim 2.

2. Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to LeChi Truong whose telephone number is (703) 305 5312. The examiner can normally be reached on 8 - 5 PM.

Fax phone: AFTER_FINAL faxes must be signed and sent to: (703) 746-2738, OFFICAL faxes must be signed and send to: (703) 746-7239, NON OFFICIAL faxes should not be signed, please send to: (703) 746-7240

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703 305 9000.

LeChi Truong
June 26, 2003



JOHN FOLLANSBEE
SUPERVISORY PATENT EXAMINER
TECHNOLOGY CENTER 2100